

TO: WIB Executive Committee

DATE: 8/25/03

FROM: WIB Staff

For Action

For Information

For Discussion

SUBJECT: Fourth Year Strategic Plan Modification

PROPOSED MOTION(S): Approve the attached Fourth Year Strategic Plan Modification and authorize Chair to sign all applicable copies of the Plan.

DISCUSSION: On September 20, 2000, the Merced County Board of Supervisors approved the local Five-Year Strategic Plan as required by the Workforce Investment Act of 1998. Pursuant to Title 20 of the Code of Federal Regulations, Part 661.355, the Governor of California has published procedures governing the modification of the local Five-Year Strategic Plan.

The Board last modified the plan (the Third Year Modification) at its meeting on July 25, 2002.

ATTACHMENT(S): Fourth Year Strategic Plan Modification

Local Workforce Investment Area Strategic Five-Year Local Plan Modification 4, Program Year 2003-04

Local Workforce Investment Area: Merced County

Submitted on: August 26, 2003

Contact Person: Joanne Presnell

Contact Person's Phone Number: (209) 724-2041

Fourth-Year Local Plan Modification PY 2003-04

Modification # _____ LWIA: Merced County

Date: 4/1/03

**WORKFORCE INVESTMENT ACT
STRATEGIC FIVE-YEAR LOCAL PLAN**

Local Plan Table of Contents

| | REVISION | | <u>PAGE NUMBER</u> |
|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------|
| | <u>Yes</u> | <u>No</u> | |
| Executive Summary | | | |
| I. Plan Development Process | <input type="checkbox"/> | <input checked="" type="checkbox"/> | _____ |
| II. Local Vision and Goals | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <u>8</u> |
| III. Labor Market Analysis | <input type="checkbox"/> | <input checked="" type="checkbox"/> | _____ |
| IV. Leadership | <input type="checkbox"/> | <input checked="" type="checkbox"/> | _____ |
| V. One-Stop Service Delivery System | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <u>20-21</u> |
| VI. Youth Activities | <input type="checkbox"/> | <input checked="" type="checkbox"/> | _____ |
| VII. Administrative Requirements | <input type="checkbox"/> | <input checked="" type="checkbox"/> | _____ |
| VIII. Assurances | <input type="checkbox"/> | <input checked="" type="checkbox"/> | _____ |
| IX. Signature Page | <u>Required</u> | | _____ |
| Attachments | | | |
| 1. Budget Plan Summaries | <u>Required</u> | | <u>44-46</u> |
| 2. Participant Plan Summary | <u>Required</u> | | <u>47-50</u> |
| 3. Performance Indicator Chart | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <u>51</u> |
| 4. Memorandums of Understanding | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <u>N/A</u> |
| 5. Public Comments of Disagreement | <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| 6. Grant Recipient Listing | <u>Required</u> | | _____ |
| 7. Other Submittal(s) | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <u>N/A</u> |

| | |
|--|----------------------------|
| <input checked="" type="checkbox"/> Fourth-Year Local Plan Modification PY 2003-04 | |
| <input type="checkbox"/> Modification # _____ | LWIA: <u>Merced County</u> |
| | Date: _____ 4/1/03 |

IX. SIGNATURE PAGE

This plan modification represents the Merced County Workforce Investment Board's efforts to maximize and coordinate resources available under Title I of the Workforce Investment Act (WIA) of 1998.

This plan modification is submitted for the period of April 1, 2003 through June 30, 2005, in accordance with the provisions of the Workforce Investment Act.

Workforce Investment Board Chair

Chief Elected Official(s)

Signature

John Headding
Name

Chair

Title

August 25, 2003
Date

Signature

Andrea T. Baker
Name

Director, Department of Workforce
Investment
Title

August 25, 2003
Date

**Amendments and updates to the narrative portion of the
STRATEGIC FIVE-YEAR LOCAL WORKFORCE INVESTMENT PLAN**

Section II – Local Vision and Goals

Page 8 – Section 5 The language should reflect that the LWIB, in conjunction with the Youth Council, will develop a local credential program. The program will be used to raise the local Older Youth Employment and Credential Attainment rate.

Section V – One Stop Delivery System

Page 20 – Section “F” The language addressing ITA General Conditions should now reflect that a maximum of one (rather than three) ITA may be issued to a participant.

Page 21 – Section “F” The language should reflect that the maximum value of any single ITA is limited to \$2,500 (rather than \$6,000).

ATTACHMENT
MERCED COUNTY WORKFORCE INVESTMENT BOARD
FOURTH-YEAR LOCAL PLAN MODIFICATION PY 2003-04

Merced County's WIA funding for 2003/04 was considerably less than for 2002/03. Funding reductions included 28.13% reduction in Youth, 25.44% reduction in Adult and 31.72% in Dislocated Worker. This reduction in funding is resulting in:

1. A decrease in the cap on ITA's and a reduction in the number of ITA's available for participants.
2. A cap on the amount of funds for supportive services for participants and a cap on the amount per participant.
3. Elimination of evening and Saturday hours at the One Stop.
4. A decrease in the number of staff providing direct services to clients. At the same time Merced has seen a 70% increase from PY 2001 to 2002 in people signing in at the One Stop Centers requesting services, as well as a decrease in support staff. Total reduction in force due to WIA funding reductions was 16 positions.
5. A decrease in training for staff at a time when clients are requesting more, and more comprehensive, services.
6. Consolidation of space which makes services less convenient for clients.
7. Cuts in upgrading and replacing equipment in the One Stop at a time when the number of clients is increasing and the demand for the computers in the labs, copier use and other services is increasing.
8. A decrease in funding of contracts for youth providers and a decrease in the number of youth being served.

Fourth Year Local Plan Modification PY 2003-04

Modification # _____

LWIA: Merced County

Date: 7/1/2003

TITLE IB BUDGET PLAN SUMMARY (Adult or Dislocated Worker)

WIA 118; 20 CFR 661.350(a)(13)

PROGRAM TYPE for PY 2003, beginning 07/01/03 through 06/30/04

Grant Code 201/202 WIA IB-Adult

Grant Code 501/502 WIA IB-Dislocated Worker

| FUNDING IDENTIFICATION | R380xxx Subgrant | R485xxx Subgrant |
|--|------------------|------------------|
| 1. Year of Appropriation | 2002 | 2003 |
| 2. Formula Allocation | 2,296,448 | 1,712,311 |
| 3. Allocation Adjustment- Plus or Minus | (11,188) | 0 |
| 4. Transfers - Plus or Minus | 0 | 0 |
| 5. TOTAL FUNDS AVAILABLE (Line 2 plus 4) | 2,285,260 | 1,712,311 |

TOTAL ALLOCATION COST CATEGORY PLAN

| | | |
|--|-----------|-----------|
| 6. Program Services (sum of Lines 6A through 6E) | 2,204,534 | 1,541,080 |
| A. Core Self Services | 566,139 | 395,760 |
| B. Core Registration Services | 461,889 | 322,884 |
| C. Intensive Services | 233,598 | 163,297 |
| D. Training Services | 942,908 | 659,139 |
| E. Other | | |
| 7. Administration (Line 5 minus 6) | 80,726 | 171,231 |
| 8. TOTAL (Lines 6 plus 7) | 2,285,260 | 1,712,311 |

QUARTERLY TOTAL EXPENDITURE PLAN (cumulative from July 1, 2002 and July 1, 2003 respectively)

| | | |
|--------------------|-----------|-----------|
| 9. September 2002 | 0 | |
| 10. December 2002 | 411,501 | |
| 11. March 2003 | 1,124,643 | |
| 12. June 2003 | 1,649,598 | |
| 13. September 2003 | 2,285,260 | 45,028 |
| 14. December 2003 | | 615,798 |
| 15. March 2004 | | 1,186,568 |
| 16. June 2004 | | 1,712,311 |
| 17. September 2004 | | |
| 18. December 2004 | | |
| 19. March 2005 | | |
| 20. June 2005 | | |

COST COMPLIANCE PLAN (maximum 10%)

| | | |
|---|------|-------|
| 21. % for Administration Expenditures (Line 7/Line 8) | 3.5% | 10.0% |
|---|------|-------|

Jacqueline Walther-Parnell

(209) 724-2012

July 16, 2003

Contact Person, Title

Telephone Number

Date Prepared

Comments:

NOTE: Final Rule 667.160, What Reallocation Procedures Must the Governors Use, discusses local area obligation rates, recapture, and reallocation. See also Directive WIAD01-10.

Fourth Year Local Plan Modification PY 2003-04

Modification # _____

LWIA: Merced County

Date: _____ 7/1/2003

TITLE IB BUDGET PLAN SUMMARY (Adult or Dislocated Worker)

WIA 118; 20 CFR 661.350(a)(13)

PROGRAM TYPE for PY 2003, beginning 07/01/03 through 06/30/04

Grant Code 201/202 WIA IB-Adult

Grant Code 501/502 WIA IB-Dislocated Worker

| FUNDING IDENTIFICATION | R380xxx Subgrant | R485xxx Subgrant |
|--|------------------|------------------|
| 1. Year of Appropriation | 2002 | 2003 |
| 2. Formula Allocation | 2,421,153 | 1,653,263 |
| 3. Allocation Adjustment- Plus or Minus | (2,390) | 0 |
| 4. Transfers - Plus or Minus | 0 | 0 |
| 5. TOTAL FUNDS AVAILABLE (Line 2 plus 4) | 2,418,763 | 1,653,263 |
| TOTAL ALLOCATION COST CATEGORY PLAN | | |
| 6. Program Services (sum of Lines 6A through 6E) | 2,319,966 | 1,487,937 |
| A. Core Self Services | 649,878 | 416,806 |
| B. Core Registration Services | 692,247 | 443,981 |
| C. Intensive Services | 393,821 | 252,582 |
| D. Training Services | 584,020 | 374,568 |
| E. Other | | |
| 7. Administration (Line 5 minus 6) | 98,797 | 165,326 |
| 8. TOTAL (Lines 6 plus 7) | 2,418,763 | 1,653,263 |
| QUARTERLY TOTAL EXPENDITURE PLAN (cumulative from July 1, 2002 and July 1, 2003 respectively) | | |
| 9. September 2002 | 394,024 | |
| 10. December 2002 | 985,836 | |
| 11. March 2003 | 1,646,199 | |
| 12. June 2003 | 2,418,546 | |
| 13. September 2003 | 2,418,763 | 321,521 |
| 14. December 2003 | | 826,632 |
| 15. March 2004 | | 1,331,742 |
| 16. June 2004 | | 1,653,263 |
| 17. September 2004 | | |
| 18. December 2004 | | |
| 19. March 2005 | | |
| 20. June 2005 | | |
| COST COMPLIANCE PLAN (maximum 10%) | | |
| 21. % for Administration Expenditures (Line 7/Line 8) | 4.1% | 10.0% |

Jacqueline Walther-Parnell

(209) 724-2012

July 16, 2003

Contact Person, Title

Telephone Number

Date Prepared

Comments:

NOTE: Final Rule 667.160, What Reallocation Procedures Must the Governors Use, discusses local area obligation rates, recapture, and reallocation. See also Directive WIAD01-10.

Fourth Year Local Plan Modification PY 2003-04

Modification # _____

LWIA: Merced County

Date: 4/1/2003

TITLE IB BUDGET PLAN SUMMARY (Youth)

WIA 118; 20 CFR 661.350(a)(13)

PROGRAM TYPE for PY 2003, beginning 04/01/03 through 06/30/04

Grant Code 301 WIA IB-Youth

| FUNDING IDENTIFICATION | | |
|--|--------------------------|--------------------------|
| 1. Year of Appropriation | R380xxx Subgrant 2002 | R485xxx Subgrant 2003 |
| 2. Formula Allocation | 2,807,336 | 2,017,580 |
| 3. Allocation Adjustment- Plus or Minus | 0 | 0 |
| 4. TOTAL FUNDS AVAILABLE (Line 2 plus 3) | 2,807,336 | 2,017,580 |
| TOTAL ALLOCATION COST CATEGORY PLAN | | |
| 5. Program Services (sum of Lines 5A through 5C) | 2,526,603 | 1,815,822 |
| A. In School | 1,768,622 | 1,271,075 |
| B. Out-of-School (30%) | 757,981 | 544,747 |
| C. Other | 0 | |
| 6. Administration (Line 4 minus 5) | 280,733 | 201,758 |
| 7. TOTAL (Line 5 plus 6) | 2,807,336 | 2,017,580 |
| QUARTERLY TOTAL EXPENDITURE PLAN (cumulative from April 1, 2002, and April 1, 2003) | | |
| 8. June 2002 | | |
| 9. September 2002 | | |
| 10. December 2002 | | |
| 11. March 2003 | 565,829 | |
| 12. June 2003 | 1,995,616 | |
| 13. September 2003 | 2,807,336 | |
| 14. December 2003 | | 672,527 |
| 15. March 2004 | | 1,345,053 |
| 16. June 2004 | | 2,017,580 |
| 17. September 2004 | | |
| 18. December 2004 | | |
| 19. March 2005 | | |
| 20. June 2005 | | |
| COST COMPLIANCE PLAN (maximum 10%) | | |
| 21. % for Administration Expenditures (Line 6/Line 7) | 10.0% | 10.0% |

Jacqueline Walther-Parnell

(209) 724-2012

July 16, 2003

Contact Person, Title

Telephone Number

Date Prepared

Comments:

NOTE: Final Rule 667.160, What Reallocation Procedures Must the Governors Use, discusses local area obligation rates, recapture, and reallocation. See also Directive WIAD01-10.

Fourth-Year Local Plan Modification PY 2003-04
Modification # _____

LWIA: Merced County

Date: _____ 7/1/2003

TITLE IB PARTICIPANT PLAN SUMMARY

WIA 118; 20 CFR 661.350(a)(13)

Plan the number of individuals that are in each category.

| Totals for PY 2003 (07/01/03 through 06/30/2004) | ADULT | DW | OY | YY |
|--|--------------|-----------|-----------|-----------|
| 1. Registered Participants Carried in from PY 2002 | 260 | 200 | 163 | 790 |
| 2. New Registered Participants for PY 2003 | 80 | 90 | 125 | 370 |
| 3. Total Registered Participants for PY 2003 (Line 1 plus 2) | 340 | 290 | 288 | 1,160 |
| 4. Exiters for PY 2003 | 130 | 80 | 100 | 430 |
| 5. Registered Participants Carried Out to PY 2004 (Line 3 minus 4) | 210 | 210 | 188 | 730 |

| PROGRAM SERVICES | | | | |
|-----------------------------|-------|-------|--|--|
| 6. Core Self Services | 1,828 | 2,620 | | |
| 7. Core Registered Services | 300 | 420 | | |
| 8. Intensive Services | 240 | 60 | | |
| 9. Training Services | 80 | 60 | | |

| SKILL ATTAINMENT | | | | |
|---------------------------|--|--|--|-----|
| 10. Attained a Skill/Goal | | | | 650 |

| EXIT STATUS | | | | |
|--|-----|----|----|-----|
| 11. Entered Employment | 120 | 90 | 30 | 80 |
| 11A. Training-related | 60 | 60 | 10 | 25 |
| 11B. Entered Postsecondary/Advanced/Credential Program | 0 | 0 | 5 | |
| 12. Remained with Layoff Employer | | 0 | | |
| 13. Entered Military Service | | | | 4 |
| 14. Entered Advanced Training | | | 15 | 6 |
| 15. Entered Postsecondary Education | | | 5 | 135 |
| 16. Entered Apprenticeship Program | | | | 10 |
| 17. Attained High School Diploma/GED | | | | 350 |
| 18. Returned to Secondary School | | | | 10 |
| 19. Exited for Other Reasons | 40 | 40 | 20 | 400 |

Jacqueline Walther-Parnell
 Contact Person, Title

(209)724-2012

7/16/2003

Telephone Date Prepared

Comments:

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| |
| |
| |
| |
| |

Fourth-Year Local Plan Modification PY 2003-04

Modification # _____ LWIA: Merced County

Date: 7/1/03

LOCAL PERFORMANCE INDICATORS AND GOALS⁽¹⁾

| WIA Requirement at Section 136(c) | State Performance Indicator(s) WIA Years | | | | Local Performance Goals WIA Years | | | |
|---|--|------------|-----------------------|------------|-----------------------------------|--------------|--------------|---|
| | 1 | 2 | 3 | 4 | 1 | 2 | 3 | 4 |
| Adults | | | | | | | | |
| Adult Entered Employment Rate | 66% | 68% | 70% | 72% | 66% | 61.2% | 63% | |
| Adult Employment Retention Rate | 74% | 76% | 78% | 81% | 74% | 68.4% | 70.2% | |
| Adult Earnings Rate | \$3500 | \$3060 | \$3700 ⁽²⁾ | \$3,400 | \$3500 | \$3240 | \$3330 | |
| Adult Employment and Credential Attainment Rate | 40% | 50% | 60% ⁽²⁾ | 50% | 40% | 45% | 54% | |
| Dislocated Workers | | | | | | | | |
| Dislocated Worker Entered Employment Rate | 68% | 69% | 70% | 79% | 68% | 62.1% | 63% | |
| Dislocated Worker Employment Retention Rate | 81% | 83% | 85% | 88% | 81% | 74.7% | 76.5% | |
| Dislocated Worker Earnings Replacement Rate | 85% | 86% | 88% | 96% | 85% | 77.4% | 79.2% | |
| Dislocated Worker Employment and Credential Attainment Rate | 40% | 42% | 45% | 58% | 40% | 37.8% | 40.5% | |
| Youth Aged 19-21 | | | | | | | | |
| Older Youth Entered Employment Rate | 55% | 56% | 58% | 66% | 55% | 50.4% | 52.2% | |
| Older Youth Employment Retention Rate | 70% | 72% | 74% | 76.5% | 70% | 64.8% | 66.6% | |
| Older Youth Earnings Gain | \$2500 | \$2600 | \$2700 | \$3,000 | \$2500 | \$2340 | \$2430 | |
| Older Youth Employment and Credential Attainment Rate | 36% | 42% | 30% | 30% | 36% | 37.8% | 40.5% | |
| Youth 14-18 | | | | | | | | |
| Younger Youth Skill Attainment Rate | 65% | 70% | 75% | 76% | 65% | 63% | 67.5% | |
| Younger Youth Diploma or Equivalent Rate | 40% | 42% | 45% | 55% | 40% | 37.8% | 40.5% | |
| Younger Youth Retention Rate | 40% | 42% | 45% | 53% | 40% | 37.8% | 40.5% | |
| Participant Customer Satisfaction Rate | 66% | 67% | 68% | 75% | 66% | 60.3% | 61.2% | |
| Employer Customer Satisfaction Rate | 64% | 65% | 66% | 75% | 64% | 58.5% | 59.4% | |

⁽¹⁾ Guidance on the definitions of specific indicators can be obtained from the State of California Consultation Paper on WIA Performance Measures (Information Bulletin [WIAB99-15](#)) at www.edd.ca.gov/emptran.htm and from the Department of Labor WIA Web site at www.usworkforce.org.

⁽²⁾ Agreement has not been reached with the Department of Labor on the changes we have proposed for these measures.

**STATE of CALIFORNIA
LOCAL WORKFORCE INVESTMENT AREA
GRANT RECIPIENT LISTING**

Merced County

(Name of Local Workforce Investment Area)

| ENTITY | ORGANIZATION | CONTACT (NAME/TITLE) | ADDRESS (STREET, CITY, ZIP) | PHONE, FAX, E-MAIL |
|---|---|---|---|--|
| Grant Recipient (or Subrecipient if applicable) | Merced County | Kathleen Crookham Chair, Merced County Board of Supervisors | 2222 M Street Merced, CA 95340 | (209) 726-7977 |
| Fiscal Agent | Merced County Department of Workforce Investment | Jackie Walther-Parnell Operations Officer | 1880 West Wardrobe Avenue Merced, CA 95340 | (209) 724-2012 Fax (209) 725-3592 |
| Local Area Administrator | Merced County Department of Workforce Investment | Andrea T. Baker, Director | same | (209) 724-2002 |

Signature: _____
Chief Elected Official
Date

If a Local Grant Subrecipient has been designated, please submit a copy of the agreement between the Chief Elected Official and the Subrecipient. The agreement should delineate roles and responsibilities of each, including signature authority.